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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/079,555	02/19/2002	Masashi Watanabe	02097/LH	9145

1933 7590 05/15/2007  
FRISHAUF, HOLTZ, GOODMAN & CHICK, PC  
220 Fifth Avenue  
16TH Floor  
NEW YORK, NY 10001-7708

EXAMINER

SINGH, SATWANT K

ART UNIT	PAPER NUMBER
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2625

MAIL DATE	DELIVERY MODE
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05/15/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Office Action Summary**

Application No.

10/079,555

Applicant(s)

WATANABE, MASASHI

Examiner

Satwant K. Singh

Art Unit

2625

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 27 February 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,3,8,11,12 and 14 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,8,11,12 and 14 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11 June 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Amendment***

1. This office action is in response to the amendment filed on 27 February 2007.

### ***Response to Arguments***

2. Applicant's arguments with respect to claims 1, 8, and 12 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1, 3, 8, 11, 12, and 14 are rejected under 35 U.S.C. 102(e) as being anticipated by Otsuka et al. (US 6,700,674).
5. Regarding Claim 1, Otsuka et al disclose a facsimile apparatus comprising: a communication section which performs image data communication (Fig. 5, LAN controller 37) by transmitting a mail indicating image data via a mail transmission system (sending a facsimile transmission as email) (col. 12, lines 22-39); a section which writes an important mail flag in the mail in accordance with an instruction made by a user when the user requests transmission of the image data (Fig. 6, mail flag 13g) (col. 11, lines 57-58); a printer (Fig. 5, printer 26) (col. 11, lines 15-30); a determining

Art Unit: 2625

section (Fig. 6, communication result memory) which, if a delivery confirmation mail, which notifies whether delivery of the mail has succeeded or failed, has arrived, determines whether failure or a delivery success of the mail transmitted from the communication section (system returns an e-mail indicating non-delivery) (col. 18, lines 23-32), wherein the delivery confirmation mail includes the important mail flag if the important mail flag is written in the mail transmitted by the communication section (mail flag 13g is turned ON) (col. 17, lines 8-32); a control section which causes the printer to print an image based on the delivery confirmation mail if at least one of: (i) the important mail flag is included in the delivery confirmation mail and (ii) the determining section has determined that the delivery confirmation mail notifies the delivery failure (system returns an e-mail indicating non-delivery) (col. 17, lines 23-32), and which causes the printer not to print an image based on the delivery confirmation mail if both: (i) the important mail flag is not included in the delivery confirmation mail (Fig. 12, S410) (mail flag 13g is OFF) and (ii) determining section has determined that the delivery confirmation notifies the delivery success (no confirmation is made concerning the presence or absence of mail indicating delivery) (col. 18, lines 23-32).

6. Regarding Claim 3, Otsuka et al disclose a facsimile apparatus, wherein the mail transmitted by the communication section comprises an e-mail, to which the image data attached as an attachment file, based on an e-mail protocol (format conversion of the image data into an e-mail format) (col. 12, lines 22-39).

7. Regarding Claim 8, Otsuka et al disclose a method of controlling a facsimile apparatus, wherein the facsimile includes; a printer (Fig. 5, printer 26) (col. 11, lines 15-

Art Unit: 2625

30), a communication section (Fig. 5, LAN controller 37) which performs image data communication, by transmitting a mail indicating image data via a mail transmission system (sending a facsimile transmission as email) (col. 12, lines 22-39), and a section which writes an important mail flag in the mail in accordance with an instruction made by a user when the user requests transmission of the image data (Fig. 6, mail flag 13g) (col. 11, lines 57-58), the method comprising: determining, if a delivery confirmation mail, which notifies whether delivery of the mail has succeeded or failed, has arrived, whether the delivery confirmation mail notifies a delivery failure or a delivery success of the mail transmitted from the communication section (system returns an e-mail indicating non-delivery) (col. 18, lines 23-32), wherein the delivery confirmation mail includes the important mail flag if the important mail flag is written in the mail transmitted by the communication section (mail flag 13g is turned ON) (col. 17, lines 8-32); and causing the printer to print an image based on the delivery confirmation mail if at least one of: (i) the important mail flag is included in the delivery confirmation mail and (ii) it has been determined that the delivery confirmation mail notifies the delivery failure (system returns an e-mail indicating non-delivery) (col. 18, lines 23-32), and causing the printer not to print an image based on the delivery confirmation mail if both: (i) the important mail flag is not included in the delivery confirmation mail (Fig. 12, S410) (mail flag 13g is OFF) and (ii) it has been determined that the delivery confirmation notifies the delivery success (no confirmation is made concerning the presence or absence of mail indicating delivery) (col. 18, lines 23-32).

Art Unit: 2625

8. Regarding Claim 11, Otsuka et al disclose a method, wherein the mail transmitted by the communication section comprises an e-mail, to which the image data attached as an attachment file, based on an e-mail protocol (format conversion of the image data into an e-mail format) (col. 12, lines 22-39).

9. Regarding Claim 12, Otsuka et al discloses a facsimile apparatus comprising: communication means (Fig. 5, LAN controller 37) for performing image data communication by transmitting a mail indicating image data via a mail transmission system (sending a facsimile transmission as email) (col. 12, lines 22-39); means for writing an important mail flag in the mail in accordance with an instruction made by a user when the user requests transmission of the image data (Fig. 6, mail flag 13g) (col. 11, lines 57-58); means for printing an image (Fig. 5, printer 26) (col. 11, lines 15-30); means for determining, if a delivery confirmation mail, which notifies whether delivery of the mail has succeeded or failed, has arrived, whether the delivery confirmation mail notifies of a delivery failure or a delivery success of the mail transmitted by the communication means (system returns an e-mail indicating non-delivery) (col. 18, lines 23-32), wherein the delivery confirmation mail includes the important mail flag if the important mail flag is written in the mail transmitted by the communication means (mail flag 13g is turned ON) (col. 17, lines 8-32); means for controlling the printing means to print an image based on the delivery confirmation mail if at least one of: (i) the important mail flag is included in the delivery confirmation mail and (ii) the determining means has determined that the delivery confirmation mail notifies the delivery failure (system returns an e-mail indicating non-delivery) (col. 18, lines 23-32), and for controlling the

Art Unit: 2625

printing means not to print an image based on the delivery confirmation mail if both: (i) the important mail flag is not included in the delivery confirmation mail (Fig. 12, S410) (mail flag 13g is OFF) and (ii) the determining means has determined that the delivery confirmation notifies the delivery success (no confirmation is made concerning the presence or absence of mail indicating delivery) (col. 18, lines 23-32).

10. Regarding Claim 14, Otsuka et al disclose a facsimile apparatus, wherein the mail transmitted by the communication means comprises an e-mail, to which the image data attached as an attachment file, based on an e-mail protocol (format conversion of the image data into an e-mail format) (col. 12, lines 22-39).

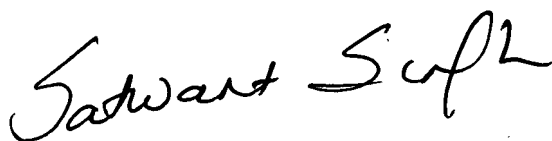
### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Satwant K. Singh whose telephone number is (571) 272-7468. The examiner can normally be reached on Monday thru Friday 8am - 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K. Moore can be reached on (571) 272-7437. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

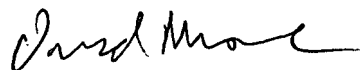
Art Unit: 2625

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



sks

Satwant K. Singh  
Examiner  
Art Unit 2625



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